

The dynamics of negative concord

NPIs appear (roughly) in downward entailing environments. Why? Perhaps because in these environments, widening the domain strengthens the utterance ([13]); perhaps because exhaustifying alternatives doesn't result in contradiction ([5]); perhaps because one scopal ordering entails the other ([1]). All these explanations turn out to pick out (roughly) the same contexts.

Negative concord items appear in a smaller set of contexts: roughly, those that are anti-additive ([18]) or anti-veridical ([8]), as in (1). Why? Here, semantic explanations are scarcer (though see [5]). But here's one semantic property that these environments have: they prevent discourse referents from being introduced, as seen in (2).

- (1) a. Non ho visto nessuno. b. Ci sono andato senza nessuno.
not have seen nobody there have gone without nobody
'I didn't see anybody.' 'I went there without anybody.' (Italian)
- (2) a. I didn't see a student in the room. ?? He was studying hard.
b. I went to the party without a date. ?? He was wearing a tux.

Here, I propose that this is, in fact, *the* explanatory property of NC items. NC items are indefinites that flag the fact (in their lexical semantics) that they will fail to introduce a discourse referent. After spelling this out using dynamic semantics, I show that it has number of advantages:

1. It correctly predicts that NC items must appear under a local anti-veridical operator.
2. If the presupposition that the DR set is empty is made at-issue, we predict negative uses of NC items: exactly what's attested in fragment answers and non-strict concord languages.
3. It perfectly unites negative concord with recent analyses of other concord phenomena.

Concord *Concord* describes a phenomenon in which a single logical meaning is expressed syntactically on multiple lexical items. Negative concord can be seen as instance of a larger pattern. In 'distributive concord,' multiple words with distributive marking may appear innocently in the same sentence, with a single distributive meaning, as in (3). Uses of definites observed by [11] can be seen as showing 'definite concord'; in (4), there is a unique rabbit-hat *pair*.

- (3) BOY EACH(distr) CHOOSE ONE-distr GIRL. 'The boys each chose one girl.' (ASL)
- (4) the rabbit in the hat [*OK in context with multiple hats but only one containing a rabbit*]

Recent analyses of distributive and definite concord converge on a semantic explanation. These analyses can be approximated by paraphrase. A distributive numeral is equivalent to a plain numeral, but there is an added condition, equivalent to a follow-up sentence, that the DP refers to a plurality of individuals ([12, 14]). The definite article is equivalent to an indefinite article, but there is an added condition, equivalent to a follow-up sentence, that the DP refers to a unique individual ([3]). In (5) and (6), the underlined sentence is presupposed—it must hold in all output worlds.

- (5) Each boy chose a girl. There are several such girls. [Pseudo-LF for (3)]
- (6) A rabbit in a hat (there is one such rabbit, one such hat) ate a carrot. [Pseudo-LF for (4)]

I propose an exactly parallel analysis. An NC item is equivalent to an existential, but there is an added condition, equivalent to a follow-up sentence, that the extension of the DP is empty.

- (7) I didn't see a person. There are no such people. [Pseudo-LF for (1a)]

Analysis *Split scope*: Following [6, 4], I allow QR’ed DPs to leave a trace of type $\langle et, t \rangle$ (call this type Q), yielding a meaning like the one in (8). NC items are assigned type $\langle Qt, t \rangle$.

$$(8) \llbracket 8_{\langle et, t \rangle} [\llbracket I [\llbracket 6_e [\llbracket \text{not} [t_8 [7_e [t_6 \text{ see } t_7]]]]]]] \rrbracket = \lambda Q_{\langle et, t \rangle} [\neg Q(\lambda x [\text{see}(x)(\text{me})])]$$

Dynamics: Following [10], states are tuples containing a world and an assignment function; dynamic updates are formulated as taking a set of states to a set of states. Assignment functions are assumed to start out with only undefined values ($\#$). The global test ‘ $\mathbf{0}_x$ ’ is a presupposition that checks that x is undefined in all possible assignments. Negative concord *nobody* is defined in (13).

$$(9) \varphi; \psi := \lambda S. \psi(\varphi(S))$$

$$(10) [u] := \lambda S. \{t \mid \exists s \in S [\exists d [t = s^{u \rightarrow d}]]\}$$

$$(11) P_{\text{dyn}}(u_1, \dots, u_n) := \lambda S. \{t \mid t \in S \wedge P_{\text{stat}}(t_g(u_1), \dots, t_g(u_n))(t_w)\}$$

$$(12) \llbracket \text{not} \rrbracket = \neg := \lambda \varphi \lambda S. \{t \mid t \in S \wedge \varphi(\{t\}) = \emptyset\}$$

$$(13) \llbracket \text{nobody}_{\text{NC}}^x \rrbracket = \lambda c. c(\lambda P. [x]; P(x)); \mathbf{0}_x$$

$$(14) \llbracket \text{I didn't see nobody}_{\text{NC}}^x \rrbracket = \neg([x]; \text{see}(x)(\text{me})); \mathbf{0}_x$$

Predictions *Locality*: In order to generate a non-contradictory LF, split scope is required (as above), to separate the presupposition from dref introduction. Because scope-taking is a clause-bound operation, we predict (correctly) that clause boundaries block NC item licensing ([9]).

Licensors: If a dref x is introduced under the quantifier *few*, the resulting proposition returns some states in which x is not defined, but others in which it is. $\mathbf{0}_x$, as a presupposition, is not satisfied. The analysis thus correctly predicts that *few* does not license NC items.

Many licensors of NC items are anti-additive (i.e., functions that satisfy $f(x \vee y) = f(x) \wedge f(y)$), but [7] observes that the restrictor of *every* is an anti-additive environment that nevertheless does not license NC items. The present analysis captures this fact; [16, 15, 2] show that the restrictor of *every* may indeed introduce discourse referents, as in (15).

$$(15) \text{All of my friends who have a plant take good care of } \underline{\text{it}}. \text{ They each water } \underline{\text{it}} \text{ every day.}$$

Negative uses A variety of linguistic strategies allow non-at-issue meaning to become at-issue. This shift can be written as the rule in (16): ‘return the maximal context that doesn’t yield failure.’ Applying this rule to the meaning of NC items turns out to result in a negative meaning.

$$(16) \text{ACCOMODATE}(\psi) = \lambda S. \{t : t \in S \wedge \psi(\{t\}) \neq \#\}$$

$$(17) \llbracket \text{nobody}_{-} \rrbracket(c) = \text{ACCOMODATE}(\llbracket \text{nobody}_{\text{NC}} \rrbracket(c))$$

‘Return the set of states t such that, if I had updated $\{t\}$ with *somebody Xed*, then checked for individuals witnessing that proposition, I wouldn’t have found any.’

Such negative uses are attested in fragment answers and in pre-verbal positions in non-strict concord languages, as in (18). To account for the restricted distribution of these uses, we can adopt the principle of ‘last-resort,’ previously proposed as a way to rescue occurrences of NC items that are too high to be licensed by sentential negation. For [17], this last-resort option is a silent negative operator. I propose a different last-resort option: accomodation. Unmodified, (18) would result in a presupposition failure. ACCOMODATE returns the maximal context that doesn’t result in failure. For (18), this is the context containing only worlds in which nobody called.

$$(18) \underline{\text{Nessuno}} \text{ ha telefonato.} \quad \text{‘Nobody called.’ (Italian)}$$

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